Regulatory Compliance Officer Apprenticeship

15 month programme | Level 4

JGA
Developing Futures

Build regulatory capability today

Give new and early-career staff the practical skills to handle compliance casework, assess risk and make sound regulatory decisions, without relying on experienced colleagues to teach everything on the job.

Built around real regulatory practice

Learners develop practical skills across:

Casework | Risk | Evidence | Data | Insights | Complaints | Stakeholder engagement | Regulatory decisions | Interventions | Enforcement

What your organisation will gain

Less pressure on experienced staff
Reduce time spent teaching core regulatory skills and checking routine work.

Build capability faster
Give staff recognised approaches, models and practical skills from the outset.

Reduce regulatory risk
Support more consistent, evidence-based casework and decision-making.

Develop your future workforce
Build transferable skills and a pipeline of regulatory professionals.



Designed by an experienced regulatory leader

The programme has been designed by Louise Edwards, former Director of Regulation and Digital Transformation at the Electoral Commission and former Chief Strategy Officer at the General Pharmaceutical Council. It reflects the practical realities of modern regulatory and compliance work.

Interested in the Regulatory Compliance Officer apprenticeship?
Get in touch.

sales@jga-group.co.uk
020 8426 2666

Regulatory Compliance Officer Apprenticeship

Course structure

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- Regular interactive seminars in live, small group sessions
- Regular coaching from an experienced Regulatory Compliance practitioner
- Comprehensive support for both apprentices and line managers
- Resources provided for mental health and well-being
- Support from neurodiversity specialist



START →

INTRODUCTION

Essentials of Regulatory Compliance Officer

Introduction to the apprenticeship

Knowledge, skills and behaviours (KSBs)

Course support, expectations and assessment process

MODULES →

- Stakeholder Engagement and Communications
- The Role
- Digital and Data
- Compliance and Risk
- Compliance Interventions and Enforcement

15 MONTHS

ASSESSMENT PREP →

Assessment preparation

Report writing

Pitch and presentation practice

END

ASSESSMENT

Apprenticeship Assessment

Two assessment methods, one of which must be a project

See official assessment plan

3 MONTHS

Regulatory Compliance Officer Apprenticeship

Modules

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MODULE →

Stakeholder Engagement and Communications

Communication and customer service in compliance

Working with stakeholders and partners

Managing and learning from complaints

MODULE →

The Role

Modern regulation and compliance

Governance, assurance and regulatory processes

Professionals ethics, EDI (Equality, Diversity, and Inclusion) and casework

MODULE →

Digital and Data

Collecting, managing and securing data

Using data to support decisions

Digital platforms, applications and AI

MODULE →

Compliance and Risk

Identifying compliance risks

Risk assessment and mitigation

Horizon scanning and emerging risks

MODULE →

Compliance Interventions and Enforcement

Planning and delivering interventions

Enforcement approaches and techniques

Decision-making in regulatory environments